**DOCKET NO.:** MSFT-3957/160078.02

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

This listing of claims will replace all prior versions, and listings, of claims in the application.

## **Listing of Claims:**

1-33 (cancelled).

34 (original). A system for producing results from a database comprising:

a spooling module which receives a relation comprising a set of rows and a set of columns, and which copies into a memory location one or more rows of said relation having common values for one or more columns of said relation; and

an application module which invokes a relational procedure, wherein said relational procedure performs a relational operation on rows in said memory location to produce one or more result rows, said application module invoking said spooling module when said relational procedure is unable to produce result rows.

35 (original). The system of claim 34, further comprising:

a query compiler which receives a query and produces an expression tree based on said query, said relational procedure being based on said expression tree.

36 (original). The system of claim 35, wherein said expression tree comprises a join operator having:

a first operand which includes a first instance of a relation, said relation having a set of rows and a set of columns;

a second operand including a second instance of said relation; and

a first predicate;

said system further comprising:

an optimization module which receives said expression tree and determines that said predicate is, or conjunctively includes, an equality comparison between one or more columns of said first instance of said relation and corresponding one or more columns of said

**DOCKET NO.:** MSFT-3957/160078.02

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

second instance of said relation, said one or more columns of said first and second instances of said relation being the same columns as said one or more columns of said relation.

37 (original). The system of claim 34, wherein said relational procedure comprises a join.

38 (original). The system of claim 37, wherein said join comprises one of: inner join, semijoin, or anti-semijoin.

39 (original). A method of evaluating a semijoin having a first operand, a second operand, and a predicate, said method comprising the acts of:

determining that said first and second operands each comprise first and second instances, respectively, of a common relation;

determining that said predicate is, or conjunctively includes, an equality comparison between one or more columns of said first instance of said relation and corresponding columns of said second instance of said relation;

segmenting said common relation based on said one or more columns to produce one or more segments of said common relation; and

performing said semijoin separately on each of said segments.

40 (original). The method of claim 39, further comprising the act of spooling each of said segments in a memory location, wherein said performing act comprises applying said semijoin successively to the segments spooled in said memory location.

41 (original). The method of claim 39, further comprising the act of compiling a SQL query which includes an EXISTS clause to produce a relational expression that includes said semijoin.

42 (original). A computer-readable medium having computer-executable instructions to perform the method of claim 39.

**DOCKET NO.:** MSFT-3957/160078.02 **Application No.:** Not yet assigned

Preliminary Amendment - First Action Not Yet Received

43 (original). A method of evaluating an anti-semijoin having a first operand, a second operand, and a predicate, said method comprising the acts of:

determining that said first and second operands each comprise first and second instances, respectively, of a common relation;

determining that said predicate is, or conjunctively includes, an equality comparison between one or more columns of said first instance of said relation and corresponding columns of said second instance of said relation;

segmenting said common relation based on said one or more columns to produce one or more segments of said common relation; and

performing said anti-semijoin separately on each of said segments.

44 (original). The method of claim 43, further comprising the act of spooling each of said segments in a memory location, wherein said performing act comprises applying said antisemijoin successively to the segments spooled in said memory location.

45 (original). The method of claim 43, further comprising the act of compiling a SQL query which includes a NOT EXISTS clause to produce a relational expression that includes said anti-semijoin.

46 (original). A computer-readable medium having computer-executable instructions to perform the method of claim 43.

47 (original). A method of sorting rows of a database table according to a first set of one or more columns, said rows having been sorted on a second set of one or more columns, said method comprising the acts of:

segmenting said database table based on said second set of columns to produce segments of said database table, each of said segments comprising rows having common values in said second set of columns; and

**DOCKET NO.:** MSFT-3957/160078.02

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

separately sorting each of said segments based on the values in said first set of columns.

48 (original). The method of claim 47, wherein said segmenting act is performed without spooling rows of said segments.

49 (original). The method of claim 47, wherein said segmenting act comprises:

identifying a first row of said database table, said first rows having first values in said second set of columns; and

identifying a second row of said database table, said second row having said first values in said second set of columns, said database table having an order, said second row being the last occurring row in said order having said first values in said second set of columns.

50 (original). The method of claim 47, wherein said act of separately sorting comprises: invoking a first function which sorts rows in first one of said segments; invoking a second function which identifies a next one of said segments; and repeating said acts of invoking said first and second function until all of said segments have been exhausted.

51 (original). The method of claim 47, further comprising the act of:
creating an expression tree including a GbApply operator having:

a first child sub-tree specifying said database table as input to said GbApply operator;

segmentation data indicating that said database table is to be segmented on said second set of columns; and

a second child sub-tree specifying a relational fragment to be performed on each of said segments, said relational fragment specifying a sort of the rows of said segments on said first set of columns.

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

52 (original). A computer-readable medium having computer-executable instructions to perform the method of claim 47.

53 (original). In a database system which performs operations on a table having rows and columns, a method of identifying a row having a superlative value in a first of said columns from among a set of rows having a common value in a second of said columns, said method comprising the acts of:

segmenting said rows based on the values in said second column to produce groups of one or more rows, wherein all of the rows in a first of said groups have a common value in said second column;

sorting the rows in said first group based on the values in said first column; and identifying the first or last row in said first group.

54 (original). The method of claim 53, wherein said superlative value comprises a maximum value, and wherein said identifying act comprises identifying the last row in said first group.

55 (original). The method of claim 53, wherein said superlative value comprises a minimum value, and wherein said identifying act comprises identifying the first row in said first group.

56 (original). The method of claim 53, wherein said segmenting act comprises:
sorting said rows based on the values in said second column; and
following said sorting act, identifying the first and last rows which have said
common value in said second column.

57 (original). The method of claim 53, further comprising the act of:
creating an expression tree including a GbApply operator having:
a first child sub-tree specifying said table as input to said GbApply
Page 6 of 16

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

operator;

segmentation data indicating that said database table is to be segmented on said second set of columns; and

a second child sub-tree specifying a relational fragment to be performed on each of said segments, said relational fragment specifying the selection of either the first or last of the rows in a segment.

58 (original). A computer-readable medium having computer-executable instructions to perform the method of claim 53.

59 (original). A system for evaluating a database query comprising:

a query compiler which receives the query and produces an expression tree based on the query;

an analysis module which identifies an expression tree having a join operator which includes:

a first operand which includes a first instance of a relation based on information stored in said database, said relation having a set of rows and a set of columns;

a second operand including a second instance of said relation; and

a first predicate which is, or conjunctively includes, an equality comparison between one or more columns of said first instance of said relation and corresponding one or more columns of said second instance of said relation; and

an evaluation module which segments said relation according to the values in said one or more columns and which applies said join operator separately to each segment of said relation.

60 (original). The system of claim 59, further comprising:

a spooling module which spools rows of each segment in a designated memory location;

**DOCKET NO.:** MSFT-3957/160078.02

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

wherein said evaluation module applies said join operator to the rows stored in said designated memory location.

**PATENT** 

61 (original). The system of claim 59, wherein said evaluation module does not spool the segments of said relation.

62 (original). The system of claim 59, wherein said join operator comprises one of: inner join, semijoin, or anti-semijoin.

63 (original). The system of claim 59, wherein said analysis module determines that said first operand further includes a filter which modifies said first instance of said relation according to a second predicate.

64 (original). The system of claim 59, wherein said analysis module determines that said first operand further includes an aggregate operation which specifies the computation of a value based on one or more rows of said relation.

65 (original). The system of claim 59, wherein said relation comprises a stored table.

66 (original). The system of claim 59, wherein said relation comprises a sub-expression based on one or more stored tables, and wherein said system further includes a module which evaluates said sub-expression to produce said relation.

67 (new). A computer-readable medium having computer executable instructions for performing the following acts:

determining that a relational expression includes a join operator having:

a first operand which includes a first instance of a relation based on information stored in a database, said relation having a set of rows and a set of columns;

a second operand including a second instance of said relation; and

**DOCKET NO.:** MSFT-3957/160078.02

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

a join predicate which is, or conjunctively includes, an equality comparison between one or more columns of said first instance of said relation and corresponding one or more columns of said second instance of said relation;

segmenting said relation based on said one or more columns to produce one or more segments of said relation; and

applying said join operator separately to each of said segments.

- 68 (new). The computer-readable medium of claim 67, having further computer executable instructions for performing the act of identifying said relational expression as a fragment of a larger expression.
- 69 (new). The computer-readable medium of claim 67, having further computer executable instructions for performing the acts of:

creating a first expression tree representative of said relational expression; and creating a second expression tree based on said first expression tree, said second expression tree including a node having:

data indicative of said relation; data indicative of said one or more columns; and data indicative of said join operator.

- 70 (new). The computer-readable medium of claim 69, having further computer executable instructions for performing the act of determining that second expression can be evaluated more efficiently than said first expression tree.
- 71 (new). The computer-readable medium of claim 67, wherein said join operator comprises one of: inner join, semijoin, or anti-semijoin.
- 72 (new). The computer-readable medium of claim 67, having further computer executable instructions for performing the act of determining that said first operand further

**DOCKET NO.:** MSFT-3957/160078.02

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

includes a filter which modifies said first instance of said relation according to a second predicate.

73 (new). The computer-readable medium of claim 67, having further computer executable instructions for performing the act of determining that said first operand further includes an aggregate operation which specifies the computation of a value based on one or more rows of said relation.

**PATENT** 

74 (new). The computer-readable medium of claim 67, having further computer executable instructions for performing the act of successively spooling each of said segments, wherein said applying act comprises applying said join operator to the segment in said spool.

75 (new). The computer-readable medium of claim 67, wherein said relation comprises a stored table.

76 (new). The computer-readable medium of claim 67, wherein said relation comprises a sub-expression based on one or more stored tables, and wherein said computer-readable medium further comprises the act of evaluating said sub-expression to produce said relation.

77 (new). The computer-readable medium of claim 67, having further computer executable instructions for performing the act of compiling a query to produce said relational expression.

78 (new). The computer-readable medium of claim 67, wherein said segmenting act comprises:

sorting said relation; spooling a first row of the sorted relation; and

**DOCKET NO.:** MSFT-3957/160078.02

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

spooling successive rows of the sorted relation until a row is encountered having values in said one or more columns that differ from the values in said one or more columns of said first row.

79 (new). A computer-readable medium having computer executable instructions for performing the following acts:

determining that a relational expression includes:

a first join operator having:

a first operand which includes a first instance of a first relation based on information stored in a database, said first relation having a set of rows and a set of columns;

a second operand including a second instance of said first relation;

and

a first predicate which is, or conjunctively includes, an equality comparison between one or more columns of said first instance of said first relation and corresponding one or more columns of said second instance of said first relation; and

a second join operator having:

a third operand which includes the result of said first join operator; a fourth operand including a second relation based on information stored in said database; and

a second predicate;

performing a join of said second relation and said first relation using said second predicate to produce an intermediate result;

segmenting said intermediate result based on said one or more columns to produce one or more segments of said intermediate result; and

applying said first join operator including said first predicate separately to each of said segments.

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

80 (new). The computer-readable medium of claim 79, wherein said segmenting act is further based on a key of said second relation.

- 81 (new). The computer-readable medium of claim 79, wherein said segmenting act is further based on all columns of said second relation.
- 82 (new). The computer-readable medium of claim 79, having further computer executable instructions for performing the act of:

determining that said second predicate references only columns in the set of columns consisting of:

said one or more columns; and columns in said second relation.

83 (new). The computer-readable medium of claim 79, having further computer executable instructions for performing the acts of:

removing conjuncts from said second predicate that reference columns other than:

said one or more columns; and

columns in said second relation; and

removing rows from the result of said applying step that do not satisfy the removed conjuncts.

- 84 (new). The computer-readable medium of claim 79, having further computer executable instructions for performing the act of comparing the predicted efficiency of at least two procedures of evaluating said relational expression.
- 85 (new). The computer-readable medium of claim 79, having further computer executable instructions for performing the act of identifying said relational expression as a fragment of a larger expression.

**DOCKET NO.:** MSFT-3957/160078.02

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

86 (new). The computer-readable medium of claim 79, wherein either said first join operator or said second join operator comprises one of: inner join, semijoin, or anti-semijoin.

**PATENT** 

87 (new). The computer-readable medium of claim 79, having further computer

executable instructions for performing the act of determining that said first operand further

includes a filter which modifies said first instance of said first relation according to a third

predicate.

88 (new). The computer-readable medium of claim 79, having further computer

executable instructions for performing the act of determining that said first operand further

includes an aggregate operation which specifies the computation of a value based on one or more

rows of said first relation.

89 (new). The computer-readable medium of claim 79, having further computer

executable instructions for performing the act of successively spooling each of said segments,

wherein said applying act comprises applying said first join operator to the segment in said

spool.

90 (new). The computer-readable medium of claim 79, wherein said first relation

comprises a stored table.

91 (new). The computer-readable medium of claim 79, wherein said first relation

comprises a sub-expression based on one or more stored tables, and wherein said computer-

readable medium further comprises the act of evaluating said sub-expression to produce said first

relation.

92 (new). The computer-readable medium of claim 79, wherein said segmenting act

comprises:

sorting said intermediate result;

Page 13 of 16

Application No.: Not yet assigned

Preliminary Amendment - First Action Not Yet Received

spooling a first row of the sorted intermediate result; and spooling successive rows of the sorted intermediate until a row is encountered having values in said one or more columns that differ from the values in said one or more columns of said first row.

93 (new). A computer-readable medium of providing information from a database comprising the acts of:

determining that a first relational expression includes a join operator having:

a first operand which includes a first relation based on information stored in said database;

a second operand which includes an operator calling for a relational fragment to be applied successively to segments of said second relation, said second relation being based on information stored in said database, said segments of said second relation being based on distinct values of one or more columns of said second relation; and

a predicate;

creating a second relational expression that includes:

an operator calling for said relational fragment to be applied successively to segments of a third relation, said segments of said third relation being based on distinct values of said one or more columns and all columns of said first relation, said third relation being the result of a join operator having:

a third operand which includes said first relation; a fourth operand which includes said second relation; and said predicate; and

evaluating said second relational expression.

94 (new). The computer-readable medium of claim 93, having further computer executable instructions for performing the act of determining that said predicate references only columns in the set of columns consisting of:

said one or more columns; and

Page 14 of 16

**DOCKET NO.:** MSFT-3957/160078.02 **Application No.:** Not yet assigned

Preliminary Amendment - First Action Not Yet Received

columns in said first relation.

95 (new). The computer-readable medium of claim 93, having further computer executable instructions for performing the acts of:

removing conjuncts from said predicate that reference columns other than:
said one or more columns; and
columns in said first relation; and

removing rows from the result of said evaluating step that do not satisfy the removed conjuncts.

96 (new). The computer-readable medium of claim 93, wherein said join operator comprises one of: inner join, semijoin, or anti-semijoin.